

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.: S-97,819

First Inventor or Application Identifier: Quanxi Jia

Title: METHOD FOR PREPARATION OF SEMICONDUCTIVE FILMS

Express Mail Label No.: ET461826042US

APPLICATION ELEMENTS		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17) <i>(submit an original and a duplicate for fee processing)</i>		6. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>		7. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
3. <input checked="" type="checkbox"/> Specification [Total Pages: 20] <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Descriptive title of the Invention <input type="checkbox"/> Cross References to Related Applications <input checked="" type="checkbox"/> Statement Regarding Fed sponsored R&D <input type="checkbox"/> Reference to sequence listing, a table or a computer program listing appendix <input checked="" type="checkbox"/> Background of the Invention <input checked="" type="checkbox"/> Brief Description of the Drawings (if filed) <input checked="" type="checkbox"/> Detailed Description <input checked="" type="checkbox"/> Claim(s) <input checked="" type="checkbox"/> Abstract of the Disclosure 		a. <input type="checkbox"/> Computer Readable Copy b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies): or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies	
ACCOMPANYING APPLICATION PARTS			
8. <input type="checkbox"/> Assignment Papers (cover sheet & documentation)			
9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)			
10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations			
11. <input type="checkbox"/> Preliminary Amendment			
12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i>			
13. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>			
14. <input type="checkbox"/> Nonpublication Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)			
15. <input type="checkbox"/> Other:			
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application S.N. _____ / _____.			
Prior application information: Examiner:		Group/Art Unit:	
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
16. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		OR <input type="checkbox"/> Correspondence Address Below <i>(Insert Customer No. or Attach Bar Code Label here)</i>	
Name: Bruce H. Cottrell Address: Los Alamos National Laboratory LC/IP, MS A187 City: Los Alamos State: New Mexico Zip Code 87545 Country: Unit d Stat s Telephone: (505) 667-9168 Fax: (505) 665-4424			
Name (Print/Type): Bruce H. Cottrell		Registration No. (Attorney/Agent): 30,620	
Signature: <u>Bruce H. Cottrell</u>		Date: July 8, 2003	

19589 U.S. PTO
 10/615645
 07/08/03



FEE TRANSMITTAL For FY 2003		Complete if Known Application Number: Filing Date: First Named Inventor: Quanxi Jia Examiner Name: Group/Art Unit: Attorney Docket No.: S-97,819																																																																																																																																									
METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																									
1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 12-2150 Deposit Account Name: Los Alamos National Laboratory <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>\$130</td><td>\$65</td><td>Surcharge – late filing fee or oath</td><td></td></tr> <tr><td>\$50</td><td>\$25</td><td>Surcharge – late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>\$2,520</td><td>\$2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>\$110</td><td>\$55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>\$410</td><td>\$205</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>\$930</td><td>\$465</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>\$1,450</td><td>\$725</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>\$1,970</td><td>\$985</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>\$320</td><td>\$160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>\$320</td><td>\$160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>\$280</td><td>\$140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>\$110</td><td>\$55</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>\$110</td><td>\$55</td><td>Terminal Disclaimer</td><td></td></tr> <tr><td>\$1,300</td><td>\$650</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>\$130</td><td>\$130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>\$50</td><td>\$50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>\$180</td><td>\$180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>\$750</td><td>\$375</td><td>Filing a submission after final rejection (37 CFR 1.129 (a))</td><td></td></tr> <tr><td>\$750</td><td>\$375</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>\$100</td><td>\$100</td><td>Certificate of Correction</td><td></td></tr> <tr><td>\$300</td><td>\$300</td><td>Publication fee for early, voluntary, or normal publication</td><td></td></tr> <tr><td>\$750</td><td>\$375</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td colspan="4" style="text-align: center; padding: 5px;">Other fee (specify) _____</td></tr> <tr> <td colspan="2" style="text-align: center; padding: 5px;">SUBTOTAL (1) \$375.00</td> <td colspan="2" style="text-align: center; padding: 5px;">SUBTOTAL (3) \$0</td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 5px;"> <small>** or number previously paid, if greater; For Reissues, see below</small> </td> <td colspan="2" style="text-align: center; padding: 5px;"> <small>Reduced by Basic Filing Fee Paid</small> </td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 5px;"> 2. 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No.</td> <td>30,620</td> </tr> <tr> <td colspan="2"></td> <td>Date: July 8, 2003</td> <td>Telephone</td> <td>(505)667-9168</td> </tr> </tbody></table>		Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid	\$130	\$65	Surcharge – late filing fee or oath		\$50	\$25	Surcharge – late provisional filing fee or cover sheet		\$2,520	\$2,520	For filing a request for reexamination		\$110	\$55	Extension for reply within first month		\$410	\$205	Extension for reply within second month		\$930	\$465	Extension for reply within third month		\$1,450	\$725	Extension for reply within fourth month		\$1,970	\$985	Extension for reply within fifth month		\$320	\$160	Notice of Appeal		\$320	\$160	Filing a brief in support of an appeal		\$280	\$140	Request for oral hearing		\$110	\$55	Petition to revive – unavoidable		\$110	\$55	Terminal Disclaimer		\$1,300	\$650	Petition to revive – unintentional		\$130	\$130	Petitions to the Commissioner		\$50	\$50	Petitions related to provisional applications		\$180	\$180	Submission of Information Disclosure Statement		\$750	\$375	Filing a submission after final rejection (37 CFR 1.129 (a))		\$750	\$375	For each additional invention to be examined (37 CFR 1.129(b))		\$100	\$100	Certificate of Correction		\$300	\$300	Publication fee for early, voluntary, or normal publication		\$750	\$375	Request for Continued Examination (RCE)		Other fee (specify) _____				SUBTOTAL (1) \$375.00		SUBTOTAL (3) \$0		<small>** or number previously paid, if greater; For Reissues, see below</small>		<small>Reduced by Basic Filing Fee Paid</small>		2. 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